

Getting Started: SAMPLE Taxonomy

Software Taxonomy and Field Correlations

The Software Taxonomy is broken down by Sections and Fields that correlate with the Risk Domains, Risk Categories and Subcategories.

RISK DOMAINS

1. STRATEGIC

When looking at Risk Domains, Risk Categories and Subcategories note: each Category corresponds to the Risk Subcategory with an **initial letter and number**.

The **Strategic domain** has six Risk Categories.

- S1. Governance
- S2. Supply Chain Management
- S3. Key Relationship Management
- S4. Major Initiatives
- S5. Market Dynamics
- S6. Brand and Communication

Example: Strategic is the Domain, Risk Category is Governance, Subcategories are Board Structure and Performance, Tone at the Top, etc. When reading the Taxonomy, it would be as follows:



Click the images to be taken to the full Taxonomy

1	Strategic
	S1. Governance
	- Board Structure and Performance
	- Tone at the Top
	- Technology Governance
	- Control Environment
	- Corporate Social Responsibility

2. OPERATIONAL

The **Operational Domain** has five Risk Categories.

- O1. Sales and Marketing
- O2. Supply Chain Management
- O3. People/Human Resources
- O4. Hazards
- O5. Physical Assets

2	Operational
	O1. Sales and Marketing
	- Marketing
	- Pricing / Margin Strategy
	- Advertising and Promotion
	- Product Development
	- Pipeline Management
	- Customer Satisfaction
	- Customer Services

2. ASSURANCE

The **Assurance Domain** has three Risk Categories.

NOTE that the Risk Category numbering is a bit different; starting with **C** rather than the corresponding starting letter — **A**.

3	Assurance
	C1. Standards and Business Conduct
	- Ethics
	- Company Policy
	- Fraud

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RISK DOMAINS

1. FINANCIAL

The **Financial Domain** has five Risk Categories.

- F1 Market
- F2 Liquidity Management
- F3 Accounting and Reporting
- F4 Tax
- F5 Capital Structure

4	Financial
	F1. Market
	- Interest Rates
	- Foreign Currency
	- Financial Instruments
	- Credit Ratings

2. INFORMATION TECHNOLOGY

The **Information Technology** has five Risk Categories.

- O1 Sales and Marketing
- O2 Supply Chain Management
- O3 People/Human Resources
- O4 Hazards
- O5 Physical Assets

5	Information Technology
	T1. IT Governance
	- Mission and Business Alignment
	- Technology Policy
	- SDLC and Project Management